01-16-01

DOCKET NO.: **176/60930** EXPRESS MAIL NO.: **EL640135356US**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM (only for new nonprovisional applications under 37 CFR 1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 47 pages) of:

Inventor(s): David P. Biss, Thomas G. Brown, and Kathleen S. Youngworth

For : AN APPARATUS FOR PRODUCTION OF INHOMOGENEOUSLY POLARIZED OPTICAL BEAMS FOR USE IN ILLUMINATION AND A METHOD THEREOF

	a CONTINUING APPLICATION, please mark where appropriate and supply the requisite mation below and in a preliminary amendment:
	continuation [] divisional [] Continuation-In-Part (CIP) prior application Serial No
Pri	or application information: Examiner : Art Unit :
Encl	osed are:
[X]	7 sheets of informal drawings.
[]	Signed Combined Declaration and Power of Attorney (pages).
[]	Copy of signed Combined Declaration and Power of Attorney (pages) from a prior application (1.63(d) (for continuation/divisional).
[]	Signed statement deleting inventor(s) named in prior application (pages) (1.63(d)(2) and 1.33(b)).
[]	Incorporation By Reference : The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
[]	Assignment (pages) of the invention to
[]	Assignment Transmittal Letter.
[]	Certified copy of a foreign priority document.
[]	Associate power of attorney.
[X]	Applicant claims small entity status. (See 37 CFR 1.27.)

[]	Preliminary Amendment (pages).
[]	Information Disclosure Statement, form PTO-1449 (pages) and references.
[X]	<u>UNSIGNED</u> Combined Declaration and Power of Attorney (2 pages).
[]	Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
[X]	A self-addressed, prepaid postcard acknowledging receipt.
[]	Other:

The Filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	
FOR:	NO. FILED	NO. EXTRA	
BASIC FEE	XXXXXXXX	xxxxxxx	
TOTAL CLAIMS	87 - 20 =	67	
INDEP CLAIMS	9 - 3 =	6	
[] MULTIPLE DEPENDENT CLAIM PRESENTED			

^{*}If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

CV	ΛΛ	TT	FN	$\Gamma \Gamma T T$

SMALL ENTITY			
RATE	FEE		
XXXX	\$355		
x 9=	\$603		
x 40=	\$240		
x135 =	\$ 0		
TOTAL	\$1198		

LARGE ENTITY

<u>OR</u>	RATE	FEE
<u>OR</u>	XXXX	\$710
<u>OR</u>	x 18 =	\$
<u>OR</u>	X80 =	\$
<u>OR</u>	x270 =	\$
<u>OR</u>	TOTAL	\$

[X] Please charge my Deposit Account No. 14-1138 in the amount of \$1,198.00. A duplicate copy of this sheet is enclosed.

[]A check in the amount of \$_____ to cover the filing fee is enclosed.

- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1138</u>. A duplicate copy of this sheet is enclosed.
- [X] Address all future communications to:

Gunnar G. Leinberg, Esq. NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603

Date: January 12,2001

Gunnar G. Leinberg Registration No. 35,584

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R457080.1



EXPRESS MAIL CERTIFICATE

DOCKET NO.

176/60930

APPLICANTS

: David P. Biss, Thomas G. Brown, and Kathleen S. Youngworth

TITLE

AN APPARATUS FOR PRODUCTION OF

INHOMOGENEOUSLY POLARIZED OPTICAL BEAMS FOR

USE IN ILLUMINATION AND A METHOD THEREOF

Certificate is attached to the Utility Patent Application which includes Specification, Claims, and Abstract (47 pages) and UNSIGNED Combined Declaration and Power of Attorney (2 pages) of the above-named application.

"EXPRESS MAIL" NUMBER:

EL640135356US

DATE OF DEPOSIT:

January 12, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

Edwin V. Merkel

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)



EXPRESS MAIL CERTIFICATE

DOCKET NO. : 176/60930

APPLICANTS : David P. Biss, Thomas G. Brown, and Kathleen S. Youngworth

TITLE : AN APPARATUS FOR PRODUCTION OF

INHOMOGENEOUSLY POLARIZED OPTICAL BEAMS FOR

USE IN ILLUMINATION AND A METHOD THEREOF

Certificate is attached to the **Utility Patent Application Transmittal Letter** (2 pages) in duplicate of the above-named application.

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Edwin V. Merkel

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